SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Title of Securit	/ (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
	Ta	able I - No	n-Derivative S	Securities Acc	uired, Dis	posed of, or Benef	icially	Owned			
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting	
(Street) SAN DIEGO	CA	92101	4. lf /	Amendment, Date o	of Original File	d (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Grou Form filed by On			
(Last) 600 B STREET	(First) 7, SUITE 100	(Middle)		ate of Earliest Trans 19/2021	saction (Month	n/Day/Year)	X	Officer (give title below) Chief Exec	Other below	(specify)	
1. Name and Address of Reporting Person* CARNECCHIA SCIPIO MAXIMUS				suer Name and Tic <u>TEK SYSTE</u>	•		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)					Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or Transaction(s)			(1150. 4)	
Common Stock	11/09/2021		S		8,326 ⁽¹⁾	D	\$17.751	173,519 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 23,684 restricted stock units.

2. Includes 2,000 shares acquired under the Mitek employee stock purchase plan on February 12, 2021 in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Remarks:

<u>/s/ Jason Gray, by Power of</u> <u>Attorney</u>

<u>11/12/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.