FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | |
|---------------------|----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | | | |
| Estimated average b | ourden | | | | | | | | |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Ritter Stephen</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol MITEK SYSTEMS INC [MITK] | | | | | | | | | | ionship of Reportir all applicable) Director Officer (give title | | 10% | Owner | |
|--|---|--|---|--------------|-----------------|--|---|---|-----------------------------|---|--|---|--------------|------------------|-----------------------|---|--|---|----------|--|
| (Last) (First) (Middle) 600 B STREET, SUITE 100 | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/16/2018 | | | | | | | | | X | belov | v) ` | belo nology Offic | ′ | |
| (Street) SAN DIE (City) | | | 2101 Zip) | | 4. If | If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | . Indiv ine) X | Form | dual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | | | Execution Date, | | Transaction Dispose Code (Instr. 5) | | | ities Acquired (A) or d Of (D) (Instr. 3, 4 an | | | | Securi Benefi | cially I Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | | Code | v | Amount | | A) or D) | Price | | Transa | action(s) 3 and 4) | | (1130.4) | |
| Common Stock 11/16/3 | | | | | 5/2018 | 2018 | | S | | 3,120(1) | | D | \$9.4 | | 219,654 | | D | | | |
| Common Stock 11/19/2 | | | | | /2018 | 2018 | | S | | 9,078(2) | | D | \$9.41 | | 210,576 | | D | | | |
| | | Та | | | | | | | | | sed of, onvertib | | | | | vned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, Transa | | nstr. | tr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Expiration (Month/D | е | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Numbe of | | ount nber | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownershi Form: Direct (D) or Indirec (I) (Instr. 4 | Beneficial Ownership t (Instr. 4) | | |

Explanation of Responses:

- 1. Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 8,721 restricted stock units.
- 2. Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 25,000 restricted stock units.

Remarks:

/s/ Trevor Renfield, by Power of Attorney

11/20/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.