SEC Form 3

FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

3235-0104 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>HALE JAN</u>	. Date of Event equiring Staten Month/Day/Year 1/04/2014	nent 1	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>MITEK SYSTEMS INC</u> [ MITK ]										
(Last) 8911 BALBO	(Last) (First) (Middle) 8911 BALBOA AVENUE				4. Relationship of Reporting Pers (Check all applicable) X Director Officer (give title			on(s) to Issuer 10% Owner Other (specify			<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check</li> </ul>		
(Street) SAN DIEGO	СА	92123				below)	belov		211 y	1		y One Reporting Person y More than One erson	
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Beneficially Owned													
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nati (Instr.		Beneficial Ownership		
Common Stock					12,500		D						
Common Stock					<b>12,500</b> <sup>(1)</sup>		D						
Common Stock					<b>5,000</b> <sup>(2)</sup>		D						
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		I 3. Title and Amount of Securi Underlying Derivative Securi		ty (Instr. 4) Cor or E		4. Conver or Exer Price o	rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title		or Nur of	nount mber ares	Derivat Securit	tive	or Indirect (I) (Instr. 5)		

#### **Explanation of Responses:**

1. Represents restricted stock units granted on September 17, 2012. The remaining shares subject to the award vest in two equal annual installments. The first installment vests on September 17, 2015 and the second installment vests on September 17, 2016.

2. Represents restricted stock units granted on November 5, 2013. Twenty-five percent of the shares subject to the award vested on the first anniversary of the grant date and the remaining shares subject to the award vest annually thereafter in three equal installments.

### **Remarks:**

#### /s/ Russell C. Clark, by Power 11/06/2014

of Attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know by all these presents, that the undersigned's hereby constitutes and appoints each of Russell C. Clark and James B. DeBello, signing singly, the undersigned's true and lawful attorney-in-fact to:

execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Mitek Systems, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended and the rules thereunder;

(2) do and perform any and all actis for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to the Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all in intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming , nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934, as amended.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 6th day of November, 2014.

/s/ James C. Hale James C. Hale