SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Wells Donna				uer Name and Tick T <mark>EK SYSTEN</mark>	0	·		ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner		
(Last) 600 B STREET	(First) F, SUITE 100	(Middle)		e of Earliest Transa 5/2020	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)
			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)		/idual or Joint/Grou	p Filing (Check	Applicable
(Street)							Line)	Form filed by On	a Departing De	
SAN DIEGO	CA	92101						Form filed by On Form filed by Mo Person		
(City)	(State)	(Zip)								
	Та	ble I - Nor	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benet	ficially	Owned		
1. Title of Security	y (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)			(D) (IIIsti	. 3, 4 anu	Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	11/16/2020		A		2,836 ⁽¹⁾	Α	\$ <mark>0</mark>	23,363	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-			-								
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents restricted stock units granted on November 16, 2020. Shares subject to the award vest on March 3, 2021. Currently, the Company provides its outside directors with \$125,000 in annual equity compensation which generally is granted in November of each year. This year, in order to better align each Director's equity compensation with their annual term of service, the Company will make a pro-rata equity grant of \$37,000, which is intended to compensate each director for the period beginning November 16, 2020 and ending March 3, 2021 (the anticipated date of our annual stockholder meeting date). Following the annual stockholder meeting, the Company will then resume it's normal equity granting practice, with the vesting term to commence upon election to the board at such meeting and ending upon the next year's annual meeting date.

Remarks:

<u>/s/ Jason Gray, by Power of</u> Attorney

11/17/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).