## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

0	
OMB Number:	3235-0287
Estimated average b	urden
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ess of Reporting Per ichael <u>E</u>	son*			uer Name <b>and</b> Tie TEK SYSTE		0 ,				tionship of Reportir all applicable) Director Officer (give title	10%	Issuer Owner r (specify	
(Last) 600 B STREET	(First) 7, SUITE 100	(Middle	2)		e of Earliest Trar 9/2021	nsaction (Mo	nth/Day/Year)				below) Ceneral Manager			
(Street)				4. If Ar	mendment, Date	of Original I	iled (Month/E	0ay/Year)		6. Indiv Line)	idual or Joint/Grou	o Filing (Check	Applicable	
SAN DIEGO	CA	92101								X	Form filed by One	e Reporting Pe	rson	
(City)	(State)	(Zip)									Form filed by Mor Person	re than One R	eporting	
	Та	ble I - N	lon-Derivat	tive S	ecurities Ac	quired, D	isposed o	of, or E	Benefic	cially	Owned			
Date		2. Transaction Date (Month/Day/Ye	ear) E>	A. Deemed xecution Date, any Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Disposed O			id 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock <sup>(1)</sup>	01/19/2021	S		43,373	D	<b>\$16.838</b> <sup>(2)</sup>	173,789	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Expirat		Expiration Da	. Date Exercisable and xpiration Date Month/Day/Year)		e and Int of rities rlying ative ative (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 Plan adopted by reporting person on December 17, 2020 in order to implement a plan of financial diversification.

2. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.51 - \$17.09, inclusive. The reporting person undertakes to provide to Mitek Systems, Inc., any security holder of Mitek Systems, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

#### Remarks:

/s/ Trevor Renfield, by Power of Attorney 01/20

01/20/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.