SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

	if no longer subject Form 4 or Form 5	STA	TEMENT O	NT OF CHANGES IN BENEFICIAL OWNERSHIP								3235-0287 den
Instruction 1(b).				nt to Section 16(a) ection 30(h) of the Ir				934		nours	per response:	0.5
1. Name and Addre Diamond Mi	ess of Reporting Pe ichael <u>E</u>	rson*		uer Name and Tick FEK SYSTEN					ationship of Ro k all applicable Director Officer (giv	e)	ng Person(s) to 10% (Other	
(Last) (First) (Middle) 600 B STREET, SUITE 100				te of Earliest Trans 9/2020		below)	eneral Manager					
(Street) SAN DIEGO	СА	92101	4. If A	Amendment, Date o	f Original File	d (Month/Day/	'Year)	6. Indi Line) X			p Filing (Check) e Reporting Per	
(City)	(State)	(Zip)									re than One Re	
	Ta	able I - No	n-Derivative S	Securities Acq	uired, Dis	posed of,	or Bei	neficially	/ Owned			
1. Title of Security	/ (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Follo Reported	wing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirec Beneficia Ownershi (Instr. 4)

		· · · · · · · · · · · · · · · · · · ·						Reported	0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	(Instr. 4)
			Code	v	Amount	(A) or (D)		Transaction(s) (Instr. 3 and 4)		(11150. 4)
Common Stock	11/09/2020		S		2,417 ⁽¹⁾	D	\$14.83	225,001 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 7,895 restricted stock units.

2. Includes 599 shares acquired under the Mitek employee stock purchase plan on August 14, 2020 in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Remarks:

/s/ Trevor Renfield, by Power 11/12/2020 of Attorney

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.