SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

X	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average	burden									

Estimated average burden	
hours per response:	0.5

1. Name and Addre	1 0	erson*	2. Issuer Name and Ticker or Trading Symbol <u>MITEK SYSTEMS INC</u> [MITK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
DEBELLO JAMES B				X	Director	10% Owner		
(Last) (First) (Middle) 600 B STREET, SUITE 100		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/03/2019	x	Officer (give title below) Forme	Other (specify below) er CEO		
000 B SIREEI	, SUITE 100							
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group	Filing (Check Applicable		
SAN DIEGO	CA	92101		X	Form filed by One	e Reporting Person		
(City)	(State)	(Zip)	-		Form filed by Mor Person	e than One Reporting		
		Table I - Non-Deriv	vative Securities Acquired, Disposed of, or Benef	icially	Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	01/03/2019		S		29,550 ⁽¹⁾	D	\$11.12	591,911	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) or Dispo of (D) (Instr	5. Number of 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. In connection with the reporting person's resignation from the Company, 82,123 unvested restricted stock units held by the reporting person were automatically accelerated and became vested pursuant to the terms of the reporting person's Executive Severance and Change of Corrol Plan. At such time, 29,550 shares were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon vesting of such restricted stock units in satisfaction of such withholding obligations.

Remarks:

/s/ Trevor Renfield, by Power of Attorney

01/08/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.